

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA

FILING A COMPLAINT ON YOUR OWN BEHALF

(January 2002)

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I. INTRODUCTION

These procedural guidelines were written and compiled to assist an individual who wishes to file a civil action on his/her own behalf (Pro Se) before the United States District Court for the District of Arizona. These procedural guidelines may also be used by an individual who wishes to file a civil action on his/her own behalf who is found indigent by the court and may proceed without prepayment of costs or fees for filing a complaint in this court. Samples of most documents necessary to initiate an action in federal district court are included in this packet, as well as copies of any federal or local rules referenced in these guidelines.

These guidelines CANNOT take the place of an attorney's legal advice. They are by no means comprehensive and are only intended to assist you in understanding the basic terms and procedures required by the court.

This summary is intended only as a general guide. Any documents you file must comply with the Federal Rules of Civil Procedure (Fed.R.Civ.P.) and the District of Arizona Local Rules of Practice. The fact that you are not represented by an attorney does not relieve you of this overriding responsibility. Compliance with these rules is required.

Please be aware that the Clerk's Office staff are prohibited from giving legal advice pursuant to 18 USC §955. This includes:

- **offering interpretations of rules;**
- **recommending a course of action;**
- **predicting a decision a judicial officer might make on any given matter;**
- **interpreting the meaning or effect of any court order or judgment.**

II. TERMINOLOGY

To familiarize you with words you will hear frequently, the following is a list of some legal terms and their definitions:

PRO SE

If you are filing a lawsuit on your own and will represent yourself, you are proceeding pro se.

IN FORMA PAUPERIS

To proceed without prepayment of costs or fees for filing a complaint.

PLAINTIFF

The person who is filing the complaint against an individual, a corporation or company, or a government agency.

DEFENDANT

The party that is being sued.

LITIGANT

A party to a lawsuit.

COUNSEL

One who has been admitted as an attorney at law to assist his/her client with advice, and pleads for him/her in open court.

COMPLAINT

The document that a plaintiff files with the Clerk of Court to initiate a lawsuit. It contains a clear statement of the important information about the claim(s) of the plaintiff and identifies each defendant. (See F.R.Civ.P. 8(a))

ANSWER

A document filed by the defendant in response to the complaint.

SUMMONS

This is a formal command of the court, directed to the defendant, which informs the defendant that an action has been brought against him/her and an answer is required (See F.R.Civ.P. 4)

MOTION

*A request made to the court for the purpose of obtaining a ruling or order directing an act to be performed in favor of the applicant or movant. The court may either hold a hearing on the motion requiring the parties to appear **OR** the judge may decide the motion without a hearing. (See Local Rule 1.10)*

ORDER

An order is issued by a judge or a magistrate judge and usually directs the plaintiff or the defendant to do something. An order may also be issued to grant or deny a motion.

JUDGMENT

The official and authentic decision of a Court adjudicating with finality the respective rights and claims of the parties to a suit.

JURISDICTION

The power or legal authority of the Court to hear and decide a case.

DOCKET NUMBER

Civil case/civil action number assigned to a case by the court when a new complaint is filed. The number includes the "CV" designation for a civil case, followed by the last two digits of the calendar year in which the case is filed; the number of the case in the order filed during the calendar year, followed by the designation of the division where filed, and ending with the initials of the District Judge to whom the case is assigned. (Example CV-96-12-PHX-RCB)

LODGED

The Clerk of Court receives a document for safekeeping until a decision is rendered by the judge as to whether the document shall be filed.

WAIVER OF SERVICE OF SUMMONS

Pursuant to F.R.Civ.P. 4, service of summons can be waived by the defendant. The "Waiver of Service" with its companion form "Notice of Lawsuit and Request for Waiver of Service of Summons" can be mailed by the Pro Se plaintiff by 1st class mail to each defendant to request the defendant to save costs by not requiring service of process.

SERVICE OF PROCESS

The service of writs, summonses, or rules to the party to whom they ought to be delivered.

III. FILING YOUR CASE: INITIAL PLEADING

When filing a complaint you must submit the following documents; examples of which are included in this packet as attachments (also refer to Section IV, page 4 of this packet for format information):

- 1) An original and one copy of the complaint.
- 2) An original and one copy of the summons listing **each** named defendant.
- 3) \$150.00 filing fee made payable to Clerk, U. S. District Court.

OR

An original and one copy of a motion (request) to proceed in forma pauperis (IFP) with supporting information regarding your financial status, and an original and one copy of a proposed order granting leave to proceed in forma pauperis for the judge's signature. Copies of these forms are included in this packet.

NOTE: The term "**in forma pauperis**" refers to one's inability to pay the fees for filing and serving a complaint.

It is very important that you provide your name, address and telephone number on all pleadings in the event it is necessary for court personnel to obtain further information or clarification.

If filing in forma pauperis (IFP), the original complaint will be filed and assigned the next consecutive civil case number. A copy of each of the documents, including the complaint, is to be provided by the filer which will be forwarded to the judge that is randomly assigned to the case. After reviewing the documents submitted to the court, the judge will determine whether you will be granted leave to proceed in forma pauperis. Once a decision has been made, you will receive a copy of the order by mail. If IFP is granted by the court, you will receive a U.S. Marshal form 285, Waiver of Service of Summons (AO Form 399), Notice of Lawsuit and Request for Waiver of Service of Summons (AO 398), and the summons. Each of these forms will need to be completed and returned to the Clerk's Office. The clerk shall issue the summons and forward the documents to the U.S. Marshal's Office for service pursuant to the Federal Rules of Civil Procedure. If IFP is denied, the case may be dismissed.

If you are paying the \$150.00 filing fee, the complaint and all other documents submitted to the court will be filed and assigned the next consecutive civil case number. A copy of each of the documents filed are to be provided by the filer and will be forwarded to the judge that is randomly assigned to the case. If you have submitted summonses, we will issue them and return them to you. You will be responsible for serving the summons and complaint upon the defendant(s) in accordance with Rule 4 of the F.R.Civ.P. You may select to serve the defendant(s) by waiver of service, as described in Rule 4 of the F.R.Civ.P. A copy of F.R.Civ.P. 4 is in this packet.

IV. OTHER INFORMATION

All documents filed with the court should bear the correct civil action number and the initials of the District Judge to whom the case is assigned. The case number format will be provided to you by the court at the time a case number and judge are assigned.

If you change your address or telephone number, it is your responsibility to notify the court in writing so that you may receive copies of orders and other papers which may be mailed to you.

Format of Documents

All documents shall be submitted for filing on 8-1/2 x 11 inch white paper. (See Local Rule 1.9)

Pursuant to F.R.Civ.P. 11, each pleading must be signed by at least one attorney of record or the pro se litigant, if self-represented.

A name, address and telephone number are required on all pleadings. All pleadings and papers must be presented according to the requirements set forth in Local Rule 1.9.

Copies of Documents

All documents shall be submitted for filing in an original and one copy. If you desire to receive a file stamped copy, you must provide an additional copy of the document. A self addressed stamped envelope is required if done by mail.

V. FEES

Statutory Regulations

The Judicial Conference of the United States, pursuant to Title 28, U.S.C. §1914(b) and 1930, adopted a schedule of fees for filings in the United States Courts (copy attached). All checks and/or money orders shall be made payable to the Clerk, U. S. District Court.

Advance Payment Required

Fees are due at the time of filing. If no filing fee or application for In Forma Pauperis status is received with the filing of a summons and complaint, and a filing fee is required, summons will not be issued until the fee requirement is met or In Forma Pauperis status is granted.

Payment of copy fees or search fees is required at the time the request is made.

VI. OPERATION OF THE CLERK'S OFFICE

General Information

The District Court Clerk's Office in Phoenix and Tucson are open for business between the hours of 8:30 a.m. and 5:00 p.m., except for Saturdays, Sundays and legal holidays. Documents filed after 5:00 p.m. may be left in the "Drop Box" outside the Clerk's Office to receive that day's filing date.

Court's Address

The United States District Court for the District of Arizona has two separate staffed locations:

PHOENIX

Sandra Day O'Connor U.S. Courthouse
401 West Washington Street, Suite 130, SPC 1
Phoenix, AZ 85003-2118
602/322-7200

TUCSON

Evo A. DeConcini U.S. Courthouse
405 West Congress Street, Suite 1500
Tucson, AZ 85701
520/205-4200

Geographic Jurisdiction

The District covers the entire state of Arizona. However, the District is divided into three divisions, each named and comprising counties as follows:

Phoenix Division:	Maricopa, Pinal, Yuma, La Paz and Gila counties.
Prescott Division:	Apache, Navajo, Coconino, Mohave, and Yavapai counties.
Tucson Division:	Pima, Cochise, Santa Cruz, Graham, and Greenlee counties.

All files and records of the Phoenix and Prescott divisions are kept at Phoenix, and all files and records of the Tucson division are kept at Tucson. Documents should either be mailed or hand delivered to the appropriate office.

Where to Obtain our Local Rules

The Local Rules of Practice of the United States District Court for the District of Arizona may be obtained from our website, www.azd.uscourts.gov, (**Local Rules**) or upon request from the Clerk's Office customer service/intake staff.

Where to Find the Federal Rules of Civil Procedure

The Federal Rules of Civil Procedure (Fed.R.Civ.P.) are available for viewing at the following law libraries:

Maricopa County Law Library
201 W. Jefferson
Phoenix, AZ
(602) 506-3461

Pima County Law Library
110 W. Congress, 2nd Floor
Tucson, AZ
(520) 621-1413

U of A Law Library
Speedway and Mountain
Tucson, AZ
(520) 740-8456

1 Your Name
2 Address
3 City, State, Zip
4 Telephone Number
5

6 IN THE UNITED STATES DISTRICT COURT
7 FOR THE DISTRICT OF ARIZONA
8

9 Your Name,) (CASE NUMBER WILL BE ASSIGNED
10 Plaintiff,) AT TIME OF FILING)
11 vs.)
12) COMPLAINT
13 Defendant.)
14 _____)

15 **Jurisdiction**
16 (Separate Paragraph)
17

18 **Complaint**
19 (Separate Paragraph(s))
20 COMPLAINT MUST COMPLY WITH RULE 8(a)
21 (A copy of the rule is attached)

22 **Demand**
23 (Separate Paragraph)
24

25 Dated: (YOUR SIGNATURE IN INK)
26 Your name typed or printed
27 Address
28 Telephone Number

UNITED STATES DISTRICT COURT

District of _____

SUMMONS IN A CIVIL CASE

V.

CASE NUMBER:

TO: (Name and address of Defendant)

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

an answer to the complaint which is served on you with this summons, within _____ days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

CLERK

DATE

(By) DEPUTY CLERK

RETURN OF SERVICE		
Service of the Summons and complaint was made by me ⁽¹⁾	DATE	
NAME OF SERVER (<i>PRINT</i>)	TITLE	
<i>Check one box below to indicate appropriate method of service</i>		
<p>G Served personally upon the defendant. Place where served: _____ _____</p> <p>G Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left: _____</p> <p>G Returned unexecuted: _____ _____ _____</p> <p>G Other (specify): _____ _____ _____</p>		
STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL
DECLARATION OF SERVER		
<p style="text-align: center;">I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.</p> <p>Executed on _____ <div style="display: flex; justify-content: space-around; width: 100%;"> Date <i>Signature of Server</i> </div> <div style="text-align: center;"> _____ <i>Address of Server</i> </div> </p>		

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

NOTICE OF LAWSUIT AND REQUEST FOR WAIVER OF SERVICE OF SUMMONS

TO: (A) _____

as (B) _____ of (C) _____

A lawsuit has been commenced against you (or the entity on whose behalf you are addressed). A copy of the complaint is attached to this notice. It has been filed in the United States District Court for the (D) _____ District of _____ and has been assigned docket number (E) _____.

This is not a formal summons or notification from the court, but rather my request that you sign and return the enclosed waiver of service in order to save the cost of serving you with a judicial summons and an additional copy of the complaint. The cost of service will be avoided if I receive a signed copy of the waiver within (F) _____ days after the date designated below as the date on which this Notice and Request is sent. I enclose a stamped and addressed envelope (or other means of cost-free return) for your use. An extra copy of the waiver is also attached for your records.

If you comply with this request and return the signed waiver, it will be filed with the court and no summons will be served on you. The action will then proceed as if you had been served on the date the waiver is filed, except that you will not be obligated to answer the complaint before 60 days from the date designated below as the date on which this notice is sent (or before 90 days from that date if your address is not in any judicial district of the United States).

If you do not return the signed waiver within the time indicated, I will take appropriate steps to effect formal service in a manner authorized by the Federal Rules of Civil Procedure and will then, to the extent authorized by those Rules, ask the court to require you (or the party on whose behalf you are addressed) to pay the full costs of such service. In that connection, please read the statement concerning the duty of parties to waive the service of the summons, which is set forth at the foot of the waiver form.

I affirm that this request is being sent to you on behalf of the plaintiff, this _____ day of _____, _____.

Signature of Plaintiff's Attorney
or Unrepresented Plaintiff

A—Name of individual defendant (or name of officer or agent of corporate defendant)

B—Title, or other relationship of individual to corporate defendant

C—Name of corporate defendant, if any

D—District

E—Docket number of action

F—Addressee must be given at least 30 days (60 days if located in foreign country) in which to return waiver

WAIVER OF SERVICE OF SUMMONS

TO: _____
(NAME OF PLAINTIFF'S ATTORNEY OR UNREPRESENTED PLAINTIFF)

I, _____, acknowledge receipt of your request
(DEFENDANT NAME)

that I waive service of summons in the action of _____,
(CAPTION OF ACTION)

which is case number _____ in the United States District Court
(DOCKET NUMBER)

for the _____ District of _____.

I have also received a copy of the complaint in the action, two copies of this instrument, and a means by which I can return the signed waiver to you without cost to me.

I agree to save the cost of service of a summons and an additional copy of the complaint in this lawsuit by not requiring that I (or the entity on whose behalf I am acting) be served with judicial process in the manner provided by Rule 4.

I (or the entity on whose behalf I am acting) will retain all defenses or objections to the lawsuit or to the jurisdiction or venue of the court except for objections based on a defect in the summons or in the service of the summons.

I understand that a judgment may be entered against me (or the party on whose behalf I am acting) if an answer or motion under Rule 12 is not served upon you within 60 days after _____,
(DATE REQUEST WAS SENT)
or within 90 days after that date if the request was sent outside the United States.

(DATE) (SIGNATURE)

Printed/Typed Name: _____

As _____ of _____
(TITLE) (CORPORATE DEFENDANT)

Duty to Avoid Unnecessary Costs of Service of Summons

Rule 4 of the Federal Rules of Civil Procedure requires certain parties to cooperate in saving unnecessary costs of service of the summons and complaint. A defendant located in the United States who, after being notified of an action and asked by a plaintiff located in the United States to waive service of summons, fails to do so will be required to bear the cost of such service unless good cause be shown for its failure to sign and return the waiver.

It is not good cause for a failure to waive service that a party believes that the complaint is unfounded, or that the action has been brought in an improper place or in a court that lacks jurisdiction over the subject matter of the action or over its person or property. A party who waives service of the summons retains all defenses and objections (except any relating to the summons or to the service of the summons), and may later object to the jurisdiction of the court or to the place where the action has been brought.

A defendant who waives service must within the time specified on the waiver form serve on the plaintiff's attorney (or unrepresented plaintiff) a response to the complaint and must also file a signed copy of the response with the court. If the answer or motion is not served within this time, a default judgment may be taken against that defendant. By waiving service, a defendant is allowed more time to answer than if the summons had been actually served when the request for waiver of service was received.

1 Name
2 Address
3 City, State, Zip
4 Telephone number
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6 IN THE UNITED STATES DISTRICT COURT
7 FOR THE DISTRICT OF ARIZONA
8

9) CV-
10 Plaintiff,)
11 vs.) **MOTION TO PROCEED**
12) **IN FORMA PAUPERIS and**
13) **SUPPORTING INFORMATION**
14 Defendant.)
15 _____)

16 I, _____, declare that I am the plaintiff in this action;
17 that I hereby request to proceed without being required to prepay fees, costs or give
18 security therefore. I state that because of my poverty I am unable to pay the fees of said
19 proceeding; that I believe I am entitled to relief.

20 In further support of this application, I answer the following questions:

- 21 1. Are you presently employed? Yes No
22 a. If the answer is "yes", state the amount of your salary or wages per month and
23 give the name and address of your employer.
24 b. If the answer is "no", state the date of last employment and the amount of the
25 salary and wages per month which you received.
26 2. Is your spouse employed? Yes No
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3. Have you received within the past twelve months any money from any of the following sources?
- | | | |
|---|-----|----|
| a. Business or other form of self employment | Yes | No |
| b. Rent payments, interest or dividends | Yes | No |
| c. Pensions, annuities or life insurance payments | Yes | No |
| d. Gifts or inheritances | Yes | No |
| e. Any other sources | Yes | No |

If the answer to any of the above is “yes”, describe each source of money and state the amount received from each during the past twelve months.

4. Do you own any cash, or do you have money in checking or savings accounts?
- | | |
|-----|----|
| Yes | No |
|-----|----|

If the answer is “yes”, what is the amount of money you own in cash _____, checking account _____, savings account _____.

5. Do you own any real estate, stocks, bonds, notes, automobiles, or other valuable property (excluding household furnishings and clothing)?
- | | |
|-----|----|
| Yes | No |
|-----|----|

If the answer is “yes”, describe and give estimated value of each.

6. List the persons who are dependent upon you for support, state your relationship to those persons, and indicate how much you contribute toward their support.

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I declare under penalty of perjury that the foregoing is true and correct.

DATED _____

Your signature in ink

Your name typed or printed

Address

Telephone Number

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IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA

)	CV-
Plaintiff,)	
vs.)	ORDER TO PROCEED
)	IN FORMA PAUPERIS
)	
Defendant.)	
_____)	

I, _____, Judge of the United States
District Court for the District of Arizona, hereby:

- _____ **GRANT** the application for leave to proceed in forma pauperis, without
prepayment of costs or fees or the necessity of giving security therefore.
Service by waiver or of the summons and complaint shall be at
government expense on the defendants by the U.S. Marshal or his
authorized representative.
- _____ **DENY** the application for leave to proceed in forma pauperis without
Prepayment of costs or fees or the necessity of giving security therefore.
The Clerk is hereby ordered to dismiss this action.

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The Clerk shall forthwith notify the plaintiff of the entry of this order.
DATED this _____ day of _____, 200_.

United States District /Magistrate Judge

FEDERAL RULES OF CIVIL PROCEDURE

Rule 4. Summons

(a) Form.

The summons shall be signed by the clerk, bear the seal of the court, identify the court and the parties, be directed to the defendant, and state the name and address of the plaintiff's attorney or, if unrepresented, of the plaintiff. It shall also state the time within which the defendant must appear and defend, and notify the defendant that failure to do so will result in a judgment by default against the defendant for the relief demanded in the complaint. The court may allow a summons to be amended.

(b) Issuance.

Upon or after filing the complaint, the plaintiff may present a summons to the clerk for signature and seal. If the summons is in proper form, the clerk shall sign, seal, and issue it to the plaintiff for service on the defendant. A summons, or a copy of the summons if addressed to multiple defendants, shall be issued for each defendant to be served.

(c) Service with Complaint; by Whom Made.

(1) A summons shall be served together with a copy of the complaint. The plaintiff is responsible for service of a summons and complaint within the time allowed under subdivision (m) and shall furnish the person effecting service with the necessary copies of the summons and complaint.

(2) Service may be effected by any person who is not a party and who is at least 18 years of age. At the request of the plaintiff, however, the court may direct that service be effected by a United States marshal, deputy United States marshal, or other person or officer specially appointed by the court for the purpose. Such an appointment must be made when the plaintiff is authorized to proceed in forma pauperis pursuant to 28 U.S.C. § 1915 or is authorized to proceed as a seaman under 28 U.S.C. § 1916.

(d) Waiver of Service; Duty to Save Costs of Service; Request to Waive.

(1) A defendant who waives service of a summons does not thereby waive any objection to the venue or to the jurisdiction of the court over the person of the defendant.

(2) An individual, corporation, or association that is subject to service under subdivision (e) , (f) , or (h) and that receives notice of an action in the manner provided in this paragraph has a duty to avoid unnecessary costs of serving the

summons. To avoid costs, the plaintiff may notify such a defendant of the commencement of the action and request that the defendant waive service of a summons. The notice and request

(A) shall be in writing and shall be addressed directly to the defendant, if an individual, or else to an officer or managing or general agent (or other agent authorized by appointment or law to receive service of process) of a defendant subject to service under subdivision (h) ;

(B) shall be dispatched through first-class mail or other reliable means;

(C) shall be accompanied by a copy of the complaint and shall identify the court in which it has been filed;

(D) shall inform the defendant, by means of a text prescribed in an official form promulgated pursuant to Rule 84 , of the consequences of compliance and of a failure to comply with the request;

(E) shall set forth the date on which request is sent;

(F) shall allow the defendant a reasonable time to return the waiver, which shall be at least 30 days from the date on which the request is sent, or 60 days from that date if the defendant is addressed outside any judicial district of the United States; and

(G) shall provide the defendant with an extra copy of the notice and request, as well as a prepaid means of compliance in writing.

If a defendant located within the United States fails to comply with a request for waiver made by a plaintiff located within the United States, the court shall impose the costs subsequently incurred in effecting service on the defendant unless good cause for the failure be shown.

(3) A defendant that, before being served with process, timely returns a waiver so requested is not required to serve an answer to the complaint until 60 days after the date on which the request for waiver of service was sent, or 90 days after that date if the defendant was addressed outside any judicial district of the United States.

(4) When the plaintiff files a waiver of service with the court, the action shall proceed, except as provided in paragraph (3), as if a summons and complaint had been served at the time of filing the waiver, and no proofs of service shall be required.

(5) The costs to be imposed on a defendant under paragraph (2) for failure to comply with a request to waive service of a summons shall include the costs subsequently incurred in effecting service under subdivision (e), (f), or (h), together with the costs, including a reasonable attorney's fee, of any motion required to collect the costs of service.

(e) Service Upon Individuals Within a Judicial District of the United States.

Unless otherwise provided by federal law, service upon an individual from whom a waiver has not been obtained and filed, other than an infant or an incompetent person, may be effected in any judicial district of the United States:

(1) pursuant to the law of the state in which the district court is located, or in which service is effected, for the service of a summons upon the defendant in an action brought in the courts of general jurisdiction of the State; or

(2) by delivering a copy of the summons and of the complaint to the individual personally or by leaving copies thereof at the individual's dwelling house or usual place of abode with some person of suitable age and discretion then residing therein or by delivering a copy of the summons and of the complaint to an agent authorized by appointment or by law to receive service of process.

(f) Service Upon Individuals in a Foreign Country.

Unless otherwise provided by federal law, service upon an individual from whom a waiver has not been obtained and filed, other than an infant or an incompetent person, may be effected in a place not within any judicial district of the United States:

(1) by any internationally agreed means reasonably calculated to give notice, such as those means authorized by the Hague Convention on the Service Abroad of Judicial and Extrajudicial Documents; or

(2) if there is no internationally agreed means of service or the applicable international agreement allows other means of service, provided that service is reasonably calculated to give notice:

(A) in the manner prescribed by the law of the foreign country for service in that country in an action in any of its courts of general jurisdiction; or

(B) as directed by the foreign authority in response to a letter rogatory or letter of request; or

(C) unless prohibited by the law of the foreign country, by

(i) delivery to the individual personally of a copy of the summons and the complaint; or

(ii) any form of mail requiring a signed receipt, to be addressed and dispatched by the clerk of the court to the party to be served; or

(3) by other means not prohibited by international agreement as may be directed by the court.

(g) Service Upon Infants and Incompetent Person.

Service upon an infant or an incompetent person in a judicial district of the United States shall be effected in the manner prescribed by the law of the state in which the service is made for the service of summons or like process upon any such defendant in an action brought in the courts of general jurisdiction of that state. Service upon an infant or an incompetent person in a place not within any judicial district of the United States shall be effected in the manner prescribed by paragraph (2)(A) or (2)(B) of subdivision (f) or by such means as the court may direct.

(h) Service Upon Corporations and Associations.

Unless otherwise provided by federal law, service upon a domestic or foreign corporation or upon a partnership or other unincorporated association that is subject to suit under a common name, and from which a waiver of service has not been obtained and filed, shall be effected:

(1) in a judicial district of the United States in the manner prescribed for individuals by subdivision (e)(1), or by delivering a copy of the summons and of the complaint to an officer, a managing or general agent, or to any other agent authorized by appointment or by law to receive service of process and, if the agent is one authorized by statute to receive service and the statute so requires, by also mailing a copy to the defendant, or

(2) in a place not within any judicial district of the United States in any manner prescribed for individuals by subdivision (f) except personal delivery as provided in paragraph (2)(C)(i) thereof.

(i) Service Upon the United States, and its Agencies, Corporations, or Officers, or Employees.

(1) Service upon the United States shall be effected

(A) by delivering a copy of the summons and of the complaint to the United States attorney for the district in which the action is brought or to an assistant United States attorney or clerical employee designated by the United States attorney in a writing filed with the clerk of the court or by sending a copy of the summons and of the complaint by registered or certified mail addressed to the civil process clerk at the office of the United States attorney and

(B) by also sending a copy of the summons and of the complaint by registered or certified mail to the Attorney General of the United States at Washington, District of Columbia, and

(C) in any action attacking the validity of an order of an officer or agency of the United States not made a party, by also sending a copy of the summons and of the complaint by registered or certified mail to the officer or agency.

(2)(A) Service on an agency or corporation of the United States , or an officer or employee of thenof the United States sued only in an official capacity, is effected by serving the United States in the manner prescribed by Rule 4(i)(1) and by also sending a copy of the summons and of the complaint by registered or certified mail to the officer, employee, agency, or corporation.

(B) Service on an officer or employee of the United States sued in an individual capacity for acts or omissions occurring in connection with performance of duties on behalf of the United States--whether or not the officer or employee is sued also in an official capacity--is effected by serving the United States in the manner prescribed by Rule 4(i)(1) and by serving the officer or employee in the manner prescribed by Rule 4 (e), (f), or (g).

(3) The court shall allow a reasonable time for service of process under this subdivision for the purpose of curing the failure to serve multiple officers, agencies, or corporations of the United States if the plaintiff has effected service on either the United States attorney or the Attorney General of the United States.

(A) all persons required to be served in an action governed by Rule 4(i)(2)(A), if the plaintiff has served either the United States Attorney or the Attorney General of the United States, or

(B) the United States in an action governed by Rule 4(i)(2)(B), if the plaintiff has served an officer or employee of the United States sued in an individual capacity.

(j) Service Upon Foreign, State, or Local Governments.

(1) Service upon a foreign state or a political subdivision, agency, or instrumentality thereof shall be effected pursuant to 28 U.S.C. § 1608.

(2) Service upon a state, municipal corporation, or other governmental organization subject to suit, shall be effected by delivering a copy of the summons and of the complaint to its chief executive officer or by serving the summons and complaint in the manner prescribed by the law of that state for the service of summons or other like process upon any such defendant.

(k) Territorial Limits of Effective Service.

(1) Service of a summons or filing a waiver of service is effective to establish jurisdiction over the person of a defendant

(A) who could be subjected to the jurisdiction of a court of general jurisdiction in the state in which the district court is located, or

(B) who is a party joined under Rule 14 or Rule 19 and is served at a place within a judicial district of the United States and not more than 100 miles from the place from which the summons issues, or

(C) who is subject to the federal interpleader jurisdiction under 28 U.S.C. § 1335, or

(D) when authorized by a statute of the United States.

(2) If the exercise of jurisdiction is consistent with the Constitution and laws of the United States, serving a summons or filing a waiver of service is also effective, with respect to claims arising under federal law, to establish personal jurisdiction over the person of any defendant who is not subject to the jurisdiction of the courts of general jurisdiction of any state.

(l) Proof of Service.

If service is not waived, the person effecting service shall make proof thereof to the court. If service is made by a person other than a United States marshal or deputy United States marshal, the person shall make affidavit thereof. Proof of service in a place not within any judicial district of the United States shall, if effected under paragraph (1) of subdivision (f) , be made pursuant to the applicable treaty or convention, and shall, if effected under paragraph (2) or (3) thereof, include a receipt signed by the addressee or other evidence of delivery to the addressee satisfactory to the court. Failure to make proof of service does not affect the validity of the service. The court may allow proof of service to be amended.

(m) Time Limit for Service.

If service of the summons and complaint is not made upon a defendant within 120 days after the filing of the complaint, the court, upon motion or on its own initiative after notice to the plaintiff, shall dismiss the action without prejudice as to that defendant or direct that service be effected within a specified time; provided that if the plaintiff shows good cause for the failure, the court shall extend the time for service for an appropriate period. This subdivision does not apply to service in a foreign country pursuant to subdivision (f) or (j)(1) .

(n) Seizure of Property; Service of Summons not Feasible.

(1) If a statute of the United States so provides, the court may assert jurisdiction over property. Notice to claimants of the property shall than be sent in the manner provided by the statute or by service of a summons under this rule.

(2) Upon a showing that personal jurisdiction over a defendant cannot, in the district where the action is brought, be obtained with reasonable efforts by service of summons in any manner authorized by this rule, the court may assert jurisdiction over any of the defendant's assets found within the district by seizing the assets under the circumstances and in the manner provided by the law of the state in which the district court is located.

Rule 8(a). General Rules of Pleadings

General Rules of Pleadings

Claims for Relief. A pleading which sets forth a claim for relief, whether an original claim, counterclaim, cross-claim, or third-party claim shall contain

- (1) a short and plain statement of the grounds upon which the court's jurisdiction depends, unless the court already has jurisdiction and the claim needs no new grounds of jurisdiction to support it,
- (2) a short and plain statement of the claim showing that the pleader is entitled to relief, and
- (3) a demand for judgment for the relief to which he deems himself entitled. Relief in the alternative or of several different types may be demanded.

Effective July 01, 2001

SCHEDULE OF FEES FOR U. S. DISTRICT COURT

When a check is used to pay any of the following fees, the check should be made payable to: Clerk, U. S. District Court.

FILING FEE - CIVIL ACTION, SUIT, OR PROCEEDING	150.00
FILING FEE - ACTIONS BROUGHT UNDER TITLE III of Cuban Liberty and Democratic Solidarity Act of 1996 (This fee is in addition to the filing fee prescribed in 28 U.S.C. 1914(a))	4,180.00
FILING FEE - PETITION FOR WRIT OF HABEAS CORPUS	5.00
FILING FEE - MOTION PURSUANT TO 28 U.S.C. 2255	NONE
FILING FEE - AMENDED COMPLAINT	NONE
FILING FEE - ANSWER	NONE
FILING FEE - JURY DEMAND	NONE
FILING OR INDEXING ANY PAPER not in a case or proceeding for which a case filing fee has been paid (i.e., petition to perpetuate testimony (Rule 27(a), F.R.Civ.P.), the filing of papers by trustees (28 U.S.C. 754), and the filing of letters of request)	30.00
REGISTERING A JUDGMENT from another district (28 U.S.C. 1963)	30.00
A SEARCH OF THE RECORDS OF THE DISTRICT COURT per name or item searched	20.00
CERTIFYING any document or paper (whether the certification is made directly on the document or by separate instrument). Please note there is a copy fee also	7.00
EXEMPLIFYING any document or paper Please note there is a copy fee also	14.00

ATTACHMENT 9

(OVER)

REPRODUCING any record (not including certification)	.50
- <u>per page</u> including original documents, microfiche or microfilm reproductions of the original records	
Electronic copies (printed from waiting area terminal)	
- <u>per page</u> printouts of docket or imaged documents	.10
COPY COMPARISON (in addition to fee for certification)	2.00
- <u>per page</u>	
COPIES OF OPINIONS (minimum charge \$1.00 per opinion)	.25
- <u>per page</u>	
REPRODUCTION OF MAGNETIC TAPE RECORDINGS, either cassette or reel-to-reel	20.00
ADMISSION OF ATTORNEYS to practice (includes Certificate of Admission) for members of the Arizona State Bar only	80.00
ADMISSION OF ATTORNEYS BY PRO HAC VICE	25.00
DUPLICATE CERTIFICATE OF ADMISSION <u>or</u> CERTIFICATE OF GOOD STANDING	15.00
NINTH CIRCUIT DOCKETING FEE FOR NOTICE OF APPEAL	100.00
FILING FEE FOR NOTICE OF APPEAL	+ 5.00
(payable to Clerk, U. S. District Court)	105.00
POWER OF ATTORNEY (per agent per district per surety or bonding company)	20.00
MICROFICHE, for each microfiche sheet or microfilm jacket copy of any court record	4.00
RETRIEVAL OF RECORD from a Federal Records Center, National Archives, or other storage location removed from the place of business of the court	35.00
RETURNED CHECK paid into the court for lack of funds	35.00
AN APPEAL to a District Judge from a judgment of conviction by a Magistrate Judge in a misdemeanor case	25.00

**UNITED STATES DISTRICT COURT
DISTRICT OF ARIZONA
OFFICE OF THE CLERK**

RICHARD H. WEARE
DISTRICT COURT EXECUTIVE / CLERK OF COURT
SANDRA DAY O'CONNOR U. S. COURTHOUSE,
SUITE 130
401 WEST WASHINGTON STREET, SPC 1
PHOENIX, ARIZONA 85003-2118

Visit our website at www.azd.uscourts.gov

RONNIE HONEY
CHIEF DEPUTY CLERK
SANDRA DAY O'CONNOR U. S. COURTHOUSE,
SUITE 130
401 WEST WASHINGTON STREET, SPC 1
PHOENIX, ARIZONA 85003-2118

WILLIAM M. MCCOOL
CHIEF DEPUTY CLERK
EVO A. DECONCINI U.S. COURTHOUSE
405 W. CONGRESS, SUITE 1500
TUCSON, ARIZONA 85701-5010

TO ANY PARTY/PERSON SEEKING LEGAL ASSISTANCE:

The following is a list of legal service organizations in the state of Arizona for your use. We cannot and do not guarantee that any of these agencies will provide legal services or that their services will be free of charge. This handout is provided solely for information purposes.

**LEGAL SERVICES ORGANIZATIONS
STATE OF ARIZONA**

Community Legal Services:

305 S 2nd Avenue
P. O. Box 21538
Phoenix, AZ 85003
602/258-3434

101 E. 1st Ave.
Suite 103
Mesa, AZ 85210
602/833-1442

412 Oak Street
Kingman, AZ 86402
1-800-255-9031

51 W 2nd St
Yuma, AZ 85364
520/782-7511

401 N Mount Vernon
Prescott, AZ 86301
520/445-9240

Volunteer Lawyers Program:

305 S 2nd Ave
P.O. Box 21538
Phoenix, AZ 85036
602/258-3434

**Pinal & Gila Counties Legal
Aid Society:**

733 S Broadway
Apache Junction, AZ 85219
602/983-1226

343 W Central Ave
Coolidge, AZ 85228
520/723-5410
1-800-293-2412

30 Keystone Ave
Miami, AZ 85539
520/473-2411

201 W Bonita
Payson, AZ 85541
520/472-7255

Corner of Apache & Tonto
Ave
P. O. Box 0
San Carlos, AZ 85550
520/475-2430

**Southern Arizona Legal Aid,
Inc.:**

64 E Broadway Blvd
Tucson, AZ 85701
520/623-9465

1065 F Ave
P.O. Box GG
Douglas, AZ 85608
520/364-7973

Wagon Wheel Plaza
Route 2, Box 1740
Suite 15
Lakeside, AZ 85929
520/537-8383

114 W Fifth Ave
Safford, AZ 85546-2322
520/428-4213
1-800-293-4213

1071 N Grand
Suite 110
Nogales, AZ 85621
520/287-9441

Volunteer Lawyers Program:

64 E Broadway Blvd
Tucson, AZ 85701
520/623-9465

Four Rivers Indian Legal Services:

403 Seed Farm Road
P.O. Box 68
Sacaton, AZ 85247
520/562-3369

Salt River Indian Community:

Rt 1, Box 215-X
Scottsdale, AZ 85256
520/949-5512

Pasqua-Yaqui Office:

4730 W Calle Tetakusim
Tucson, AZ 85746
520/623-9461

DNA-People's Legal Services, Inc.:

P.O. Box 306
Window Rock, AZ 86515
520/871-4151

P.O. Box 767
Chinle, AZ 86503
520/674-5242

P.O. Box 765
Tuba City, AZ 86045
520/283-5265

Coconino County Legal Aid:

19 E Phoenix Ave
Flagstaff, AZ 86001
520/774-0653

Papago Legal Services:

Main St and Education
P.O. Box 246
Sells, AZ 85634
520/383-2420

White Mountain Indian Legal Services:

P.O. Box 1030
Whiteriver, AZ 85941
520/338-4845

Hopi Legal Services:

(A project of DNA-People's
Legal Services)
P.O. Box 558
Keams Canyon, AZ 86034
520/738-2251

Navajo Public Defender Office:

Window Rock Blvd
P. O. Box 3210
Window Rock, AZ 86515
520/871-6370

Specialty Groups**Advocates for the Disabled:**

1314 N 3rd St, Suite 116
Phoenix, AZ 85004
602/256-9673

Arizona Center for Law in the Public Interest:

202 E McDowell Rd
Suite 153
Phoenix, AZ 85004
602/258-8850

Tucson Office:

1840 E River Road, #207
Tucson, AZ 85718
520/529-1798

Arizona Center for Disability Law:

3839 N 3rd St, Suite 209
Phoenix, AZ 85012
602/274-6287

3131 N Country Club
Suite 100
Tucson, AZ 85716
520/327-9547

Arizona Senior Citizens Law Project:

1818 S 16th St
Phoenix, AZ 85034
602/252-6710

Friendly House:

802 S 1st Ave
Phoenix, AZ 85003
602/257-1870

Tucson Ecumenical Council Legal Aid (TECLA):

631 S 6th Ave
Tucson, AZ 85701
520/623-5739



Welcome to the U.S. District Court District of Arizona

WE ARE HAPPY TO HELP YOU IF WE CAN. HOWEVER, WE ARE ALLOWED TO HELP YOU ONLY IN CERTAIN WAYS, SINCE WE MUST BE FAIR TO EVERYONE.

This is a list of some things the court staff can and cannot do for you.

We can	explain and answer questions about how the court works	We cannot	tell you whether or not you should file a case
We can	provide you with the number of the local lawyer referral service, legal services programs and other services where you can get legal information	We cannot	give you an opinion about what will happen if you bring your case to court
We can	give you general information about court rules, procedures, and practices	We cannot	tell you what words to use in your court papers
We can	provide court schedules	We cannot	talk to the judge for you or let you talk to the judge outside of court
We can	provide you information from your case file	We cannot	tell you what to say in court
We can	provide you with court forms and instructions that are available	We cannot	tell you what you should do next in your case
We can	usually answer questions about court deadlines	We cannot	provide you a copy of an order until it is filed in by the Clerk's Office
		We cannot	compute deadlines in your particular case

Since court staff may not know the answers to all questions about court rules, procedures, and practices, and because we don't want to give you wrong information, we have been instructed not to answer questions if we do not know the correct answers. For additional information, please contact a lawyer or your local law library, or visit our web site at www.azd.uscourts.gov.